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KLARQUIST SPARKMAN

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Request for Corrected Filing Receipt

FAX TRANSMITTAL

DATE: October 4, 2004

TO: Office of Initial Patent Examination – Filing Receipt Corrections

FAX PHONE: (703) 746-9195

FROM: Richard J. Polley, Esq.

RE: Application No. 10/815,987; filed March 31, 2004
Confirmation No. 7283

OUR FILE: 6565-68316-01/RJP

NO. PAGES 9 (including this cover page)

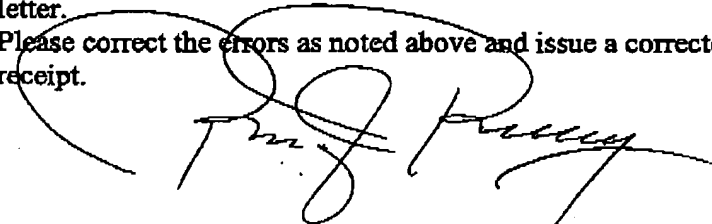
CONTACT INFO: If you do not receive all pages or if you have problems receiving transmittal, please call us at (503) 226-7391 as soon as possible and ask for Carolyn Schlabach.

MESSAGE: The undersigned received an updated filing receipt, dated September 10, 2004, for the above-identified patent application.

The filing receipt contains two errors in inventor Tan's name. The correct spelling should be: Cherng-wen, Darren Tan.

A copy of Applicant's executed Declaration for Patent Application and "marked-up" copy of the updated filing receipt accompanying this cover letter.

Please correct the errors as noted above and issue a corrected filing receipt.



cc: Client; Docketing

THE INFORMATION CONTAINED IN THIS TRANSMISSION IS CONFIDENTIAL AND ONLY FOR THE INTENDED RECIPIENT IDENTIFIED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION OR USE OF THIS COMMUNICATION IS UNLAWFUL. IF YOU HAVE RECEIVED THIS TRANSMISSION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE (COLLECT), RETURN THE ORIGINAL MESSAGE TO US, AND RETAIN NO COPY.



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/815,987	03/31/2004	1614	1238	6585-68316-01/RJP	6	34	4

24197
KLARQUIST SPARKMAN, LLP
121 SW SALMON STREET
SUITE 1600
PORTLAND, OR 97204

CONFIRMATION NO. 7283

UPDATED FILING RECEIPT



OC000000013780017

PREVIOUSLY DOCKETED

Date Mailed: 09/10/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Applicant(s)

Yi Yan Yang, Singapore, SINGAPORE;
Cheng-Wen Darren Tan, Singapore, SINGAPORE;
Shabbir M. Moochhala, Singapore, SINGAPORE;
Lishan Wang, Singapore, SINGAPORE;
Donna Tan, Singapore, SINGAPORE;

small "w"
(lower case)
Cheng-wen, Darren Tan
insert comma
"

Assignment For Published Patent Application

Agency for Science, Technology and Research
DSO National Laboratories

Power of Attorney: The patent practitioners associated with Customer Number **24197**.

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 06/10/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,
US10/815,987

Projected Publication Date: 10/06/2005

Non-Publication Request: No

Early Publication Request: No

Title

Sustained-release tablet formulation

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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EX-SOIT TECHNOLOGIES 60727192

NO. 645 P. 2

- 1 -

Attorney Docket No. 6565-68316/RJP**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SUSTAINED-RELEASE TABLET FORMULATION

the specification of which

(check one) ☐ is attached hereto.
☒ was filed on March 31, 2004
as U.S. Application Serial No. 10/815,987
☐ was filed on _____
as PCT International Application No. PCT / _____

and (if applicable) was amended on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §§ 1.56(a) and (b), which state:

"(a) A patent by its very nature is afflicted with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(c)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practised or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application,
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

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NO. 645 P. 3

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(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

- (i) Opposing an argument of unpatentability relied on by the Office, or
- (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability."

I hereby claim foreign priority benefits under 35 United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing of this application:

PRIOR FOREIGN APPLICATION(S)

<u>Number</u>	<u>Country</u>	<u>Filing Date</u> <u>(Day/Month/Year)</u>	<u>Date First</u> <u>Laid-open or</u> <u>Published</u>	<u>Date</u> <u>Patented</u> <u>or Granted</u>	<u>Priority</u> <u>Claimed?</u>
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N/A

I hereby claim the benefit under 35 United States Code, § 119(c) of any United States provisional application(s) listed below:

<u>Application Number</u>	<u>Filing Date</u>
---------------------------	--------------------

N/A

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

PRIOR U.S. OR PCT APPLICATION(S)

<u>Application No.</u>	<u>Filing Date</u> <u>(day/month/year)</u>	<u>Status</u> <u>(pending, abandoned, granted)</u>
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EXHIBIT TECHNOLOGIES 60737192

NO. 645 P. 4

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

1) INVENTOR'S SIGNATURE: _____

Date: 22/07/04

Inventor's Name: _____

Xi-Yan

(First)

(Middle Initial)

YANG

(Family Name)

Country of Citizenship: _____

People's Republic of China

Residence: _____

Singapore

(City, Province, Country)

Post Office Address: Block 5, Dover Crescent, #04-10, Singapore 130005

2) INVENTOR'S SIGNATURE: _____

Date: _____

Inventor's Name: _____

Cherng-wen, Darren

(First)

(Middle Initial)

TAN

(Family Name)

Country of Citizenship: _____

Singapore

Residence: _____

Singapore

(City, Province, Country)

Post Office Address: Block 301, Clement Avenue 4, #05-555, Singapore 120301

12. AUG. 2004 13:27

EXHIBIT TECHNOLOGIES 60737192

NO. 645 P. 6

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that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

1) INVENTOR'S SIGNATURE: _____ Date: _____

Inventor's Name: Yi-Yan YANG
(First) (Middle Initial) (Family Name)Country of Citizenship: People's Republic of ChinaResidence: Singapore
(City, Province, Country)Post Office Address: Block 5, Dover Crescent, #04-10, Singapore 1300052) INVENTOR'S SIGNATURE:  Date: 220704Inventor's Name: Chen Wen-Darren TAN
(First) (Middle Initial) (Family Name)Country of Citizenship: SingaporeResidence: Singapore
(City, Province, Country)Post Office Address: Block 301, Clementi Avenue 4, #05-555, Singapore 120301

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EXHIBIT TECHNOLOGIES 60737192

NO. 645

P. 7

- 1 -

3) INVENTOR'S SIGNATURE: [Signature] Date: 2/8/04Inventor's Name: Shabbir M. MOOCHHALA
(First) (Middle Initial) (Family Name)Country of Citizenship: SingaporeResidence: Singapore
(City, Province, Country)Post Office Address: 114A, Lorong K Talok Kurau Road, Singapore 425749

4) INVENTOR'S SIGNATURE: _____ Date: _____

Inventor's Name: Lishan WANG
(First) (Middle Initial) (Family Name)Country of Citizenship: People's Republic of ChinaResidence: Singapore
(City, Province, Country)Post Office Address: Block 719, Yishun Street 71, #10-201, Singapore 7507195) INVENTOR'S SIGNATURE: [Signature] Date: 2/8/04Inventor's Name: Donna TAN
(First) (Middle Initial) (Family Name)Country of Citizenship: SingaporeResidence: Singapore
(City, Province, Country)Post Office Address: Block 23B, Hongkong Avenue 1, #02-310, Singapore 530238

12.AUG.2004 13:27

EXHIBIT TECHNOLOGIES 60737192

NO. 645 P. 5

- 4 -

3) INVENTOR'S SIGNATURE: _____ Date: _____

Inventor's Name: Shabbir M. MOOCHALA
(First) (Middle Initial) (Family Name)Country of Citizenship: SingaporeResidence: Singapore
(City, Province, Country)Post Office Address: 114A, Lorong K Telok Kurau Road, Singapore 4257494) INVENTOR'S SIGNATURE: E. Layan Date: 22/07/2014Inventor's Name: Lishan WANG
(First) (Middle Initial) (Family Name)Country of Citizenship: People's Republic of ChinaResidence: Singapore
(City, Province, Country)Post Office Address: Block 719, Yishun Street 71, #10-201, Singapore 760719

5) INVENTOR'S SIGNATURE: _____ Date: _____

Inventor's Name: Donna TAN
(First) (Middle Initial) (Family Name)Country of Citizenship: SingaporeResidence: Singapore
(City, Province, Country)Post Office Address: Block 238, Hougang Avenue 1, #02-310, Singapore 530238